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SAFETY

Operation and Maintenance
Manual Excerpt



Operation and Maintenance Manual

301.5, 301.6 and 301.8 Mini Hydraulic Excavators

BFA1-Up (Machine)
BDH1-Up (Machine)
3YW1-Up (Machine)

Important Safety Information

Most accidents that involve product operation, maintenance and repair are caused by failure to observe basic safety rules or precautions. An accident can often be avoided by recognizing potentially hazardous situations before an accident occurs. A person must be alert to potential hazards. This person should also have the necessary training, skills and tools to perform these functions properly.

Improper operation, lubrication, maintenance or repair of this product can be dangerous and could result in injury or death.

Do not operate or perform any lubrication, maintenance or repair on this product, until you have read and understood the operation, lubrication, maintenance and repair information.

Safety precautions and warnings are provided in this manual and on the product. If these hazard warnings are not heeded, bodily injury or death could occur to you or to other persons.

The hazards are identified by the "Safety Alert Symbol" and followed by a "Signal Word" such as "DANGER", "WARNING" or "CAUTION". The Safety Alert "WARNING" label is shown below.



The meaning of this safety alert symbol is as follows:

Attention! Become Alert! Your Safety is Involved.

The message that appears under the warning explains the hazard and can be either written or pictorially presented.

Operations that may cause product damage are identified by "NOTICE" labels on the product and in this publication.

Caterpillar cannot anticipate every possible circumstance that might involve a potential hazard. The warnings in this publication and on the product are, therefore, not all inclusive. If a tool, procedure, work method or operating technique that is not specifically recommended by Caterpillar is used, you must satisfy yourself that it is safe for you and for others. You should also ensure that the product will not be damaged or be made unsafe by the operation, lubrication, maintenance or repair procedures that you choose.

The information, specifications, and illustrations in this publication are on the basis of information that was available at the time that the publication was written. The specifications, torques, pressures, measurements, adjustments, illustrations, and other items can change at any time. These changes can affect the service that is given to the product. Obtain the complete and most current information before you start any job. Caterpillar dealers have the most current information available.



When replacement parts are required for this product Caterpillar recommends using Caterpillar replacement parts or parts with equivalent specifications including, but not limited to, physical dimensions, type, strength and material.

Failure to heed this warning can lead to premature failures, product damage, personal injury or death.

Safety Section

i02000664

Safety Messages

SMCS Code: 7000; 7405

There are several specific safety messages on this machine. The exact location of the hazards and the description of the hazards are reviewed in this section. Please become familiar with all safety messages.

Make sure that all of the safety messages are legible. Clean the safety messages or replace the safety messages if you cannot read the words. Replace the illustrations if the illustrations are not legible. When you clean the safety messages, use a cloth, water and soap. Do not use solvent, gasoline, or other harsh chemicals to clean the safety messages. Solvents, gasoline, or harsh chemicals could loosen the adhesive that secures the safety message. Loose adhesive will allow the safety message to fall.

Replace any safety message that is damaged, or missing. If a safety message is attached to a part that is replaced, install a new safety message on the replacement part. Any Caterpillar dealer can provide new safety messages.

Do Not Operate

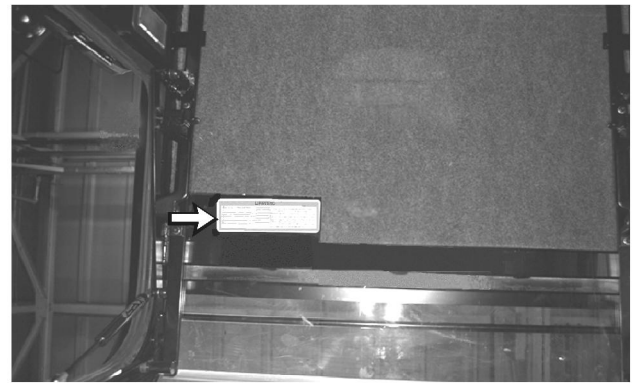


Illustration 2

g00492021

This safety message is located on the cab or on the canopy above the operator's seat.

WARNING

Do not operate or work on this machine unless you have read and understand the instructions and warnings in the Operation and Maintenance Manuals. Failure to follow the instructions or heed the warnings could result in injury or death. Contact any Caterpillar dealer for replacement manuals. Proper care is your responsibility.

For machines equipped with quick coupler, improper attachment of work tools could result in injury or death.

Do not operate this machine until you have positive indication that the coupler pins are fully engaged. Follow recommended procedure in Operation and Maintenance Manual.

Securely fasten radio (if equipped) and all loose items such as lunch boxes or tools. Failure to do so could allow items to shift position during rough machine operation or tip over and result in injury. Refer to the Operation and Maintenance Manual for specific fastening and latching requirements.

Do not spray ether into the air intake. This machine is equipped with glow plugs. Severe injury or death may occur from an explosion.

Tipover Hazard



Illustration 3 g01045861

This safety message is located inside the top front of the cab or on the canopy on the right side.



g01060058



Operation of the machine on a slope could result in a tipping hazard which could cause serious injury or death. The machine can tip on slopes that are greater than 10 degrees to 15 degrees.

All ground personnel should maintain a distance of 5 meters from the machine when the machine is in operation. Read the Operation and Maintenance Manual.

Stay Clear

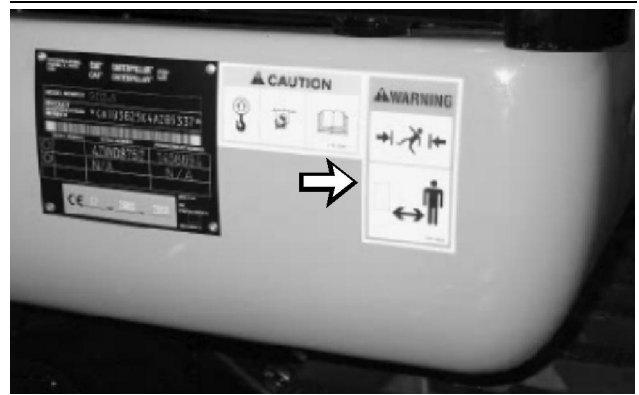


Illustration 4 g01045039

This safety message is located on the frame on both sides of the boom.



Stay clear of this area when machine is operating. You can be crushed by swinging boom.

Lifting and Tying Down the Machine



Illustration 5 g01045242

This safety message is located on the front left side of the machine.



Before lifting or tying down this machine, consult Operation and Maintenance Manual, "Transportation Information" for instructions and for calculation of machine mass. Do not use the mass that is stamped on the CE plate for lifting or tying down purposes. Failure to heed this caution could result in injury or property damage.

Pressurized System

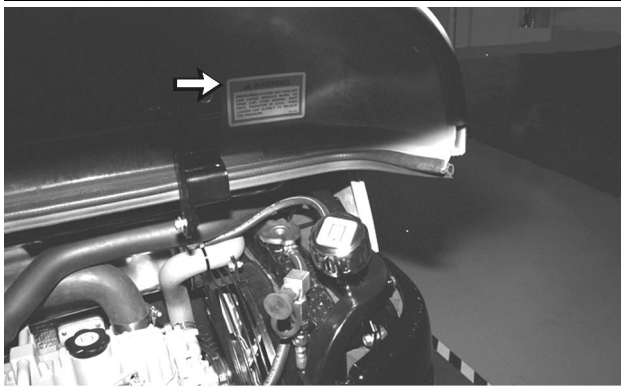


Illustration 6

g00493813

This safety message is located on the inside of the hood above the cooling system filler cap.

WARNING

Pressurized system: Hot coolant can cause serious burn. To open cap, stop engine, wait until radiator is cool. Then loosen cap slowly to relieve the pressure.

Starting with Jump Start Cables

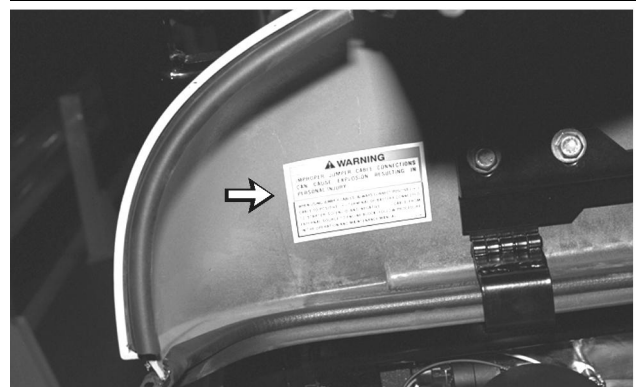


Illustration 7

g00493814

This safety message is located on the inside of the hood above the engine air cleaner.

WARNING

Improper jumper cable connections can cause explosion resulting in personal injury.

When using jumper cables, always connect positive(+) cable to positive(+) terminal of battery connected to starter solenoid and negative(-) cable from external source to engine block or frame. Follow procedure in the Operation and Maintenance Manual.

High Pressure Cylinder

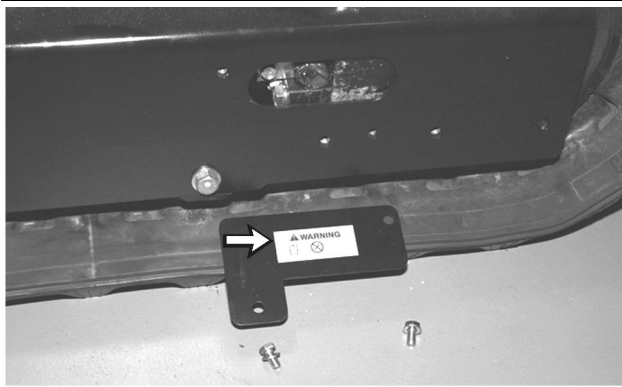
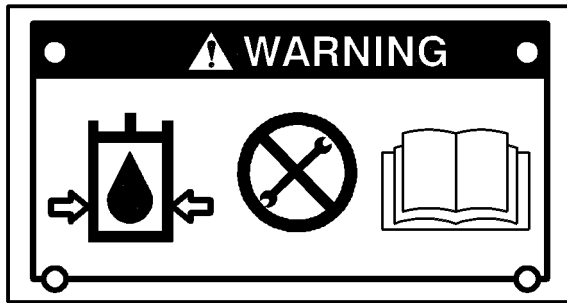


Illustration 8

g00493815

This safety message is located on the inside surface of the access plate for the track adjuster.



g00100742

WARNING

High Pressure Cylinder. Do not remove any parts from the cylinder until all of the pressure has been relieved. This will prevent possible personal injury or death.

See the Operation and Maintenance Manual , “Track Adjustment - Adjust” information for your product.

Height and Reach of Machine



Illustration 9

g01052649

This safety message is located on the left console.

WARNING

Know the maximum height and reach of your machine. Serious injury or death by electrocution can occur if machine, work tools, or attachments are not kept a safe distance from electrical power lines. Keep distance at least 3 m (10 ft) Plus additional 10 mm (.4 inch) for each 1,000 volts over 50,000 volts.

TOPS and FOPS

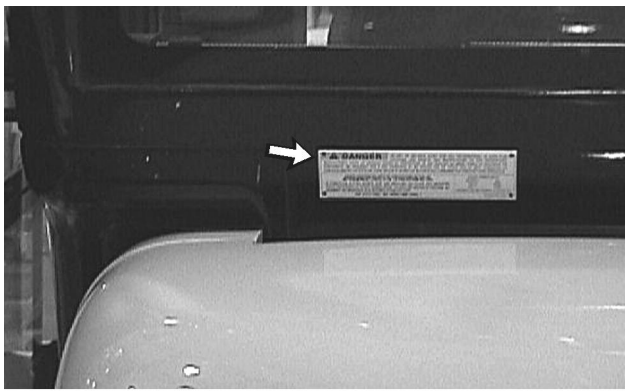


Illustration 10

g00509016

This safety message is located on the rear of the cab or on the canopy above the hood.

WARNING

Structural damage, an overturn, modification, alteration, or improper repair can impair this structure's protection capability thereby voiding this certification. Do not weld on or drill holes in the structure. Consult a Caterpillar dealer to determine this structure's limitations without voiding its certification.

Do Not Weld or Drill on the ROPS

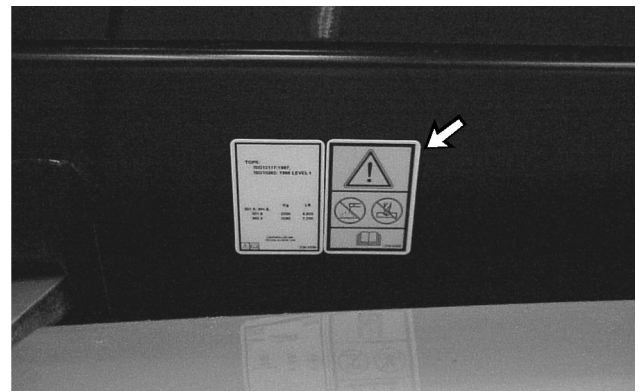


Illustration 11

g01045831

This safety message is located on the rear of the cab or on the canopy above the hood.

WARNING

Structural damage, an overturn, modification, alteration, or improper repair can impair this structure's protection capability thereby voiding this certification. Do not weld on or drill holes in the structure. This will void the certification. Consult a Caterpillar dealer to determine this structure's limitations without voiding its certification.

Joystick Control Selector (If Equipped)



Illustration 12

g01045054

This safety message is located inside the top front of the cab or canopy on the left side.

WARNING

Check if control pattern 1 (Standard) or control pattern 2 (Alternate) is selected before operating the machine.

Refer to Operation and Maintenance Manual.

Failure to understand control functions could result in injury or death.

Accumulator Pressure



Illustration 13

g00657155

The safety message is located on the left hand mounting plate of the engine access door.

WARNING

Accumulator may contain high pressure oil. Do not service the accumulator or any hydraulic lines until all of the pressure has been relieved. See the Service Manual for proper procedures. Failure to heed this warning could result in injury or death.

Aerosol Starting Aid



Illustration 14

g00671491

This safety message is located on the air cleaner housing.

WARNING

Do not use aerosol types of starting aids such as ether. Such use could result in an explosion and personal injury.

i02443908

General Hazard Information

SMCS Code: 7000

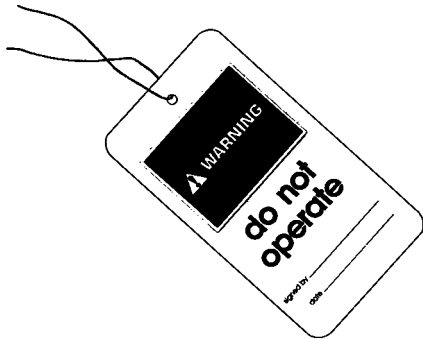


Illustration 15

g00104545

Attach a "Do Not Operate" warning tag or a similar warning tag to the start switch or to the controls before you service the equipment or before you repair the equipment. These warning tags (Special Instruction, SEHS7332) are available from your Caterpillar dealer.

Know the width of your equipment in order to maintain proper clearance when you operate the equipment near fences or near boundary obstacles.

Be aware of high voltage power lines and power cables that are buried. If the machine comes in contact with these hazards, serious injury or death may occur from electrocution.

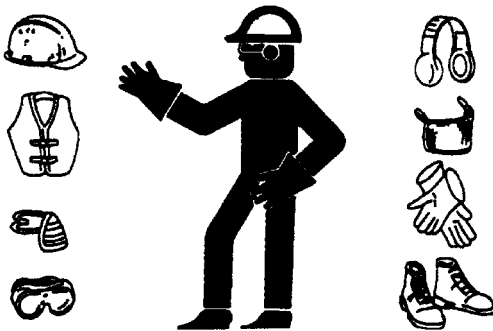


Illustration 16

g00702020

Wear a hard hat, protective glasses, and other protective equipment, as required.

Do not wear loose clothing or jewelry that can snag on controls or on other parts of the equipment.

Make sure that all protective guards and all covers are secured in place on the equipment.

Keep the equipment free from foreign material. Remove debris, oil, tools, and other items from the deck, from walkways, and from steps.

Secure all loose items such as lunch boxes, tools, and other items that are not a part of the equipment.

Know the appropriate work site hand signals and the personnel that are authorized to give the hand signals. Accept hand signals from one person only.

Do not smoke when you service an air conditioner. Also, do not smoke if refrigerant gas may be present. Inhaling the fumes that are released from a flame that contacts air conditioner refrigerant can cause bodily harm or death. Inhaling gas from air conditioner refrigerant through a lighted cigarette can cause bodily harm or death.

Never put maintenance fluids into glass containers. Drain all liquids into a suitable container.

Obey all local regulations for the disposal of liquids.

Use all cleaning solutions with care. Report all necessary repairs.

Do not allow unauthorized personnel on the equipment.

Unless you are instructed otherwise, perform maintenance with the equipment in the servicing position. Refer to Operation and Maintenance Manual for the procedure for placing the equipment in the servicing position.

Pressurized Air and Water

Pressurized air and/or water can cause debris and/or hot water to be blown out. This could result in personal injury.

When pressurized air and/or pressurized water is used for cleaning, wear protective clothing, protective shoes, and eye protection. Eye protection includes goggles or a protective face shield.

The maximum air pressure for cleaning purposes must be reduced to 205 kPa (30 psi) when the nozzle is deadheaded and the nozzle is used with an effective chip deflector and personal protective equipment. The maximum water pressure for cleaning purposes must be below 275 kPa (40 psi).

Trapped Pressure

Pressure can be trapped in a hydraulic system. Releasing trapped pressure can cause sudden machine movement or attachment movement. Use caution if you disconnect hydraulic lines or fittings. High pressure oil that is released can cause a hose to whip. High pressure oil that is released can cause oil to spray. Fluid penetration can cause serious injury and possible death.

Fluid Penetration

Pressure can be trapped in the hydraulic circuit long after the engine has been stopped. The pressure can cause hydraulic fluid or items such as pipe plugs to escape rapidly if the pressure is not relieved correctly.

Do not remove any hydraulic components or parts until pressure has been relieved or personal injury may occur. Do not disassemble any hydraulic components or parts until pressure has been relieved or personal injury may occur. Refer to the Service Manual for any procedures that are required to relieve the hydraulic pressure.

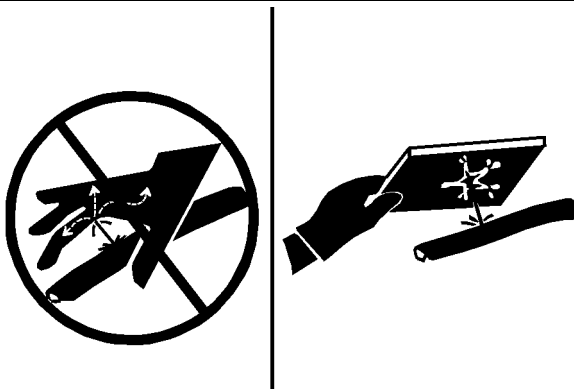


Illustration 17

g00687600

Always use a board or cardboard when you check for a leak. Leaking fluid that is under pressure can penetrate body tissue. Fluid penetration can cause serious injury and possible death. A pin hole leak can cause severe injury. If fluid is injected into your skin, you must get treatment immediately. Seek treatment from a doctor that is familiar with this type of injury.

Containing Fluid Spillage

Care must be taken in order to ensure that fluids are contained during performance of inspection, maintenance, testing, adjusting and repair of the equipment. Prepare to collect the fluid with suitable containers before opening any compartment or disassembling any component that contains fluids.

Refer to Special Publication, NENG2500, "Caterpillar Dealer Service Tool Catalog" for the following items:

- Tools that are suitable for collecting fluids and equipment that is suitable for collecting fluids
- Tools that are suitable for containing fluids and equipment that is suitable for containing fluids

Obey all local regulations for the disposal of liquids.

Asbestos Information

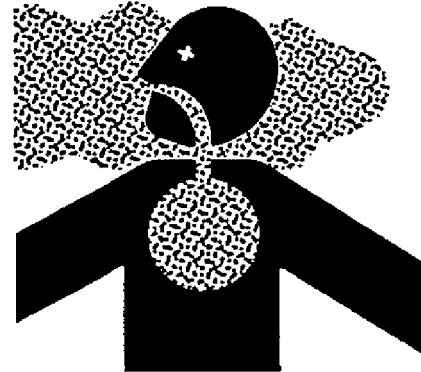


Illustration 18

g00702022

Caterpillar equipment and replacement parts that are shipped from Caterpillar are asbestos free. Caterpillar recommends the use of only genuine Caterpillar replacement parts. Use the following guidelines when you handle any replacement parts that contain asbestos or when you handle asbestos debris.

Use caution. Avoid inhaling dust that might be generated when you handle components that contain asbestos fibers. Inhaling this dust can be hazardous to your health. The components that may contain asbestos fibers are brake pads, brake bands, lining material, clutch plates, and some gaskets. The asbestos that is used in these components is usually bound in a resin or sealed in some way. Normal handling is not hazardous unless airborne dust that contains asbestos is generated.

If dust that may contain asbestos is present, there are several guidelines that should be followed:

- Never use compressed air for cleaning.
- Avoid brushing materials that contain asbestos.
- Avoid grinding materials that contain asbestos.
- Use a wet method in order to clean up asbestos materials.
- A vacuum cleaner that is equipped with a high efficiency particulate air filter (HEPA) can also be used.

- Use exhaust ventilation on permanent machining jobs.
- Wear an approved respirator if there is no other way to control the dust.
- Comply with applicable rules and regulations for the work place. In the United States, use Occupational Safety and Health Administration (OSHA) requirements. These OSHA requirements can be found in "29 CFR 1910.1001".
- Obey environmental regulations for the disposal of asbestos.
- Stay away from areas that might have asbestos particles in the air.

Dispose of Waste Properly

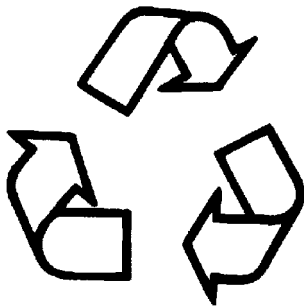


Illustration 19

g00706404

Improperly disposing of waste can threaten the environment. Potentially harmful fluids should be disposed of according to local regulations.

Always use leakproof containers when you drain fluids. Do not pour waste onto the ground, down a drain, or into any source of water.

i01359664

Crushing Prevention and Cutting Prevention

SMCS Code: 7000

Support the equipment properly before you perform any work or maintenance beneath that equipment. Do not depend on the hydraulic cylinders to hold up the equipment. Equipment can fall if a control is moved, or if a hydraulic line breaks.

Do not work beneath the cab of the machine unless the cab is properly supported.

Unless you are instructed otherwise, never attempt adjustments while the machine is moving or while the engine is running.

Never jump across the starter solenoid terminals in order to start the engine. Unexpected machine movement could result.

Whenever there are equipment control linkages the clearance in the linkage area will change with the movement of the equipment or the machine. Stay clear of areas that may have a sudden change in clearance with machine movement or equipment movement.

Stay clear of all rotating and moving parts.

If it is necessary to remove guards in order to perform maintenance, always install the guards after the maintenance is performed.

Keep objects away from moving fan blades. The fan blade will throw objects or cut objects.

Do not use a kinked wire cable or a frayed wire cable. Wear gloves when you handle wire cable.

When you strike a retainer pin with force, the retainer pin can fly out. The loose retainer pin can injure personnel. Make sure that the area is clear of people when you strike a retainer pin. To avoid injury to your eyes, wear protective glasses when you strike a retainer pin.

Chips or other debris can fly off an object when you strike the object. Make sure that no one can be injured by flying debris before striking any object.

i01329099

Burn Prevention

SMCS Code: 7000

Do not touch any part of an operating engine. Allow the engine to cool before any maintenance is performed on the engine. Relieve all pressure in the air system, in the oil system, in the lubrication system, in the fuel system, or in the cooling system before any lines, fittings or related items are disconnected.

Coolant

When the engine is at operating temperature, the engine coolant is hot. The coolant is also under pressure. The radiator and all lines to the heaters or to the engine contain hot coolant.

Any contact with hot coolant or with steam can cause severe burns. Allow cooling system components to cool before the cooling system is drained.

Check the coolant level only after the engine has been stopped.

Ensure that the filler cap is cool before removing the filler cap. The filler cap must be cool enough to touch with a bare hand. Remove the filler cap slowly in order to relieve pressure.

Cooling system conditioner contains alkali. Alkali can cause personal injury. Do not allow alkali to contact the skin, the eyes, or the mouth.

Oils

Hot oil and hot components can cause personal injury. Do not allow hot oil to contact the skin. Also, do not allow hot components to contact the skin.

Remove the hydraulic tank filler cap only after the engine has been stopped. The filler cap must be cool enough to touch with a bare hand. Follow the standard procedure in this manual in order to remove the hydraulic tank filler cap.

Batteries

Electrolyte is an acid. Electrolyte can cause personal injury. Do not allow electrolyte to contact the skin or the eyes. Always wear protective glasses for servicing batteries. Wash hands after touching the batteries and connectors. Use of gloves is recommended.

i01359795

Fire Prevention and Explosion Prevention

SMCS Code: 7000



Illustration 20

g00704000

All fuels, most lubricants, and some coolant mixtures are flammable.

Flammable fluids that are leaking or spilled onto hot surfaces or onto electrical components can cause a fire. Fire may cause personal injury and property damage.

Remove all flammable materials such as fuel, oil, and debris from the machine. Do not allow any flammable materials to accumulate on the machine.

Store fuels and lubricants in properly marked containers away from unauthorized persons. Store oily rags and any flammable materials in protective containers. Do not smoke in areas that are used for storing flammable materials.

Do not operate the machine near any flame.

Exhaust shields (if equipped) protect hot exhaust components from oil spray or fuel spray in case of a break in a line, in a hose, or in a seal. Exhaust shields must be installed correctly.

Do not weld on lines or on tanks that contain flammable fluids. Do not flame cut lines or tanks that contain flammable fluid. Clean any such lines or tanks thoroughly with a nonflammable solvent prior to welding or flame cutting.

Check all electrical wires daily. Repair any wires that are loose or frayed before you operate the machine. Clean all electrical connections and tighten all electrical connections.

Dust that is generated from repairing nonmetallic hoods or nonmetallic fenders can be flammable and/or explosive. Repair such components in a well ventilated area away from open flames or sparks.

Inspect all lines and hoses for wear or for deterioration. The hoses must be properly routed. The lines and the hoses must have adequate support and secure clamps. Tighten all connections to the recommended torque. Leaks can cause fires.



Illustration 21

g00704059

Use caution when you are refueling a machine. Do not smoke while you are refueling a machine. Do not refuel a machine near open flames or sparks. Always stop the engine before refueling. Fill the fuel tank outdoors.

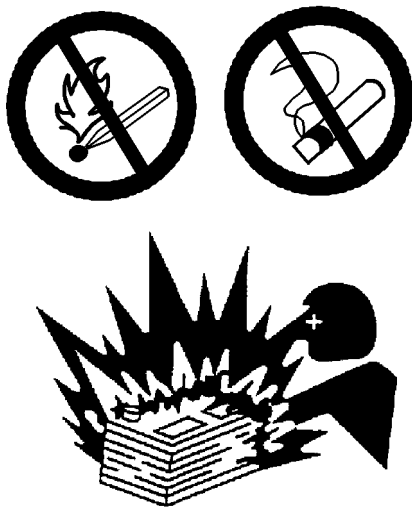


Illustration 22

g00704135

Gases from a battery can explode. Keep any open flames or sparks away from the top of a battery. Do not smoke in battery charging areas.

Never check the battery charge by placing a metal object across the terminal posts. Use a voltmeter or a hydrometer.

Improper jumper cable connections can cause an explosion that can result in injury. Refer to the Operation Section of this manual for specific instructions.

Do not charge a frozen battery. This may cause an explosion.

Fire Extinguisher

Make sure that a fire extinguisher is available. Be familiar with the operation of the fire extinguisher. Inspect the fire extinguisher and service the fire extinguisher regularly. Obey the recommendations on the instruction plate.

Ether

Ether is flammable and poisonous.

Use ether in well ventilated areas. Do not smoke while you are replacing an ether cylinder or while you are using an ether spray.

Do not store ether cylinders in living areas or in the operator compartment of a machine. Do not store ether cylinders in direct sunlight or in temperatures above 49 °C (120 °F). Keep ether cylinders away from open flames or sparks.

Dispose of used ether cylinders properly. Do not puncture an ether cylinder. Keep ether cylinders away from unauthorized personnel.

Do not spray ether into an engine if the machine is equipped with a thermal starting aid for cold weather starting.

Lines, Tubes and Hoses

Do not bend high pressure lines. Do not strike high pressure lines. Do not install any lines that are bent or damaged.

Repair any lines that are loose or damaged. Leaks can cause fires. Consult your Caterpillar dealer for repair or for replacement parts.

Check lines, tubes and hoses carefully. Do not use your bare hand to check for leaks. Use a board or cardboard to check for leaks. Tighten all connections to the recommended torque.

Replace the parts if any of the following conditions are present:

- End fittings are damaged or leaking.
- Outer coverings are chafed or cut.

- Wires are exposed.
- Outer coverings are ballooning.
- Flexible part of the hoses are kinked.
- Outer covers have embedded armoring.
- End fittings are displaced.

Make sure that all clamps, guards, and heat shields are installed correctly. During machine operation, this will help to prevent vibration, rubbing against other parts, and excessive heat.

i01041836

Fire Extinguisher Location

SMCS Code: 7000; 7419

Make sure that a fire extinguisher is available. Be familiar with the operation of the fire extinguisher and service the fire extinguisher regularly. Obey the recommendations on the instruction plate.

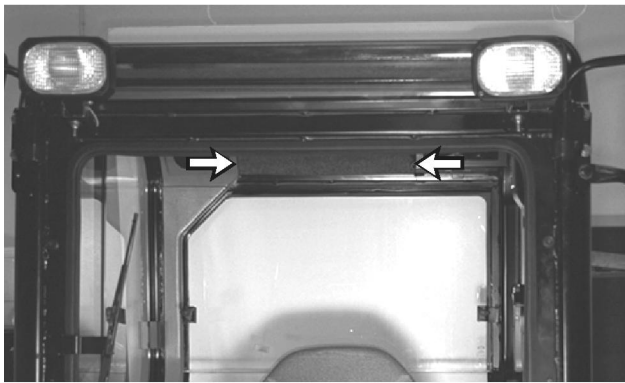


Illustration 23

g00378093

A fire extinguisher can be installed above the back of the operator seat.

Consult your Caterpillar dealer for the installation of the fire extinguisher.

i01329108

Track Information

SMCS Code: 4170; 7000

Track adjusting systems use either grease or oil under high pressure to keep the track under tension.

Grease or oil under high pressure coming out of the relief valve can penetrate the body causing injury or death. Do not watch the relief valve to see if grease or oil is escaping. Watch the track or track adjustment cylinder to see if the track is being loosened.

The pins and bushings in a dry track pin joint can become very hot. It is possible to burn the fingers if there is more than brief contact with these components.

i01122596

Electrical Storm Injury Prevention

SMCS Code: 7000

When lightning is striking in the vicinity of the machine, the operator should never attempt the following procedures:

- Mount the machine.
- Dismount the machine.

If you are in the operator's station during an electrical storm, stay in the operator's station. If you are on the ground during an electrical storm, stay away from the vicinity of the machine.

i02052066

Before Starting Engine

SMCS Code: 1000; 7000

Start the engine only from the operator's compartment. Do not short across the battery terminals. Bypassing the engine neutral start system can damage the electrical system.

Inspect the condition of the seat belt and the condition of the mounting hardware. Replace any damaged parts or worn parts. Regardless of appearance, replace the seat belt after three years of use. Do not use an extension for a seat belt on a retractable seat belt.

Adjust the seat so that full pedal travel can be achieved. Adjust the seat so that full lever travel can be achieved. Make sure that your back is against the back of the seat.

Make sure that the machine is equipped with a lighting system that is adequate for the job conditions. Make sure that all lights are working properly.

Make sure that the hydraulic control console is in the RAISED position. When the hydraulic control console is in the RAISED position, the hydraulic controls will be deactivated.

⚠ WARNING

Deactivation of the hydraulic controls does not prevent the blade, boom swing, or auxiliary circuit functions from moving under gravity or other external forces. Gravity or other external forces can move the blade, boom swing, or auxiliary circuit functions suddenly if a hydraulic control lever is moved.

Personal injury or death may occur from sudden machine movement.

Put the cover on the control pedal for the swinging boom. Put the cover on the auxiliary hydraulic control.

Before you start the engine and before you move the machine, make sure that no personnel are underneath the machine, around the machine, or on the machine. Make sure that the area is free of personnel.

i02019743

Engine Starting

SMCS Code: 1000; 7000

If a warning tag is attached to the start switch or to the controls, do not start the engine. Also, do not move any controls.

Move all hydraulic controls to the HOLD position or to the STOP before you start the engine.



Illustration 24

g00675380

Early type



Illustration 25

g01043346

Later type

Put the left and/or right hydraulic lockout control in the RAISED position.

Diesel engine exhaust contains products of combustion which can be harmful to your health. Always start the engine in a well ventilated area. Always operate the engine in a well ventilated area. If you are in an enclosed area, vent the exhaust to the outside.

i02052052

Before Operation

SMCS Code: 7000

Clear all personnel from the machine and from the area.

Clear all obstacles from the path of the machine. Beware of hazards such as wires, ditches, etc.

Make sure that all windows are clean. Secure the doors in the open position or in the shut position. Secure the windows in the open position or in the shut position.

For the best vision of the area that is close to the machine, adjust the rear view mirrors (if equipped).

Make sure that the machine horn, the travel alarm (if equipped) and all other warning devices are working properly.

Fasten the seat belt securely.

i01115299

Work Tools

SMCS Code: 6700

Only use work tools that are approved by Caterpillar for use on Caterpillar machines. Refer to the Operation and Maintenance Manual, "Caterpillar Approved Work Tools".

If you are in doubt about the compatibility of a particular work tool with your machine, consult your Caterpillar dealer.

Make sure that all necessary guarding is in place on the host machine and on the work tool.

Keep all windows and doors closed on the host machine. Always wear protective glasses. Always wear the protective equipment that is recommended in the work tool's operation manual. Wear any other protective equipment that is required for the operating environment.

To prevent personnel from being struck by flying objects, ensure that all personnel are out of the work area.

While you are performing any maintenance, any testing, or any adjustments to the work tool stay clear of the following areas: cutting edges, pinching surfaces, and crushing surfaces.

i02773460

Visibility Information

SMCS Code: 7000

Before you start the machine, the operator shall perform a walk-around inspection in order to ensure that there are no hazards around the machine.

While the machine is in operation, the operator should constantly survey the area around the machine. The operator needs to identify potential hazards as a hazard becomes visible around the machine.

Your machine may be equipped with mirrors or other visual aids. An example of a visual aid is Closed Circuit Television (CCTV). The operator should ensure that the visual aids are in proper working condition and that the visual aids are clean. Adjust the visual aids for the best visibility of all areas around the machine.

It may not be possible to provide direct visibility on large machines to all areas around the machine. Appropriate job site organization is required in order to minimize hazards that are caused by restricted visibility. Job site organization is a collection of rules and procedures that coordinates machines and people that work together in the same area. Examples of job site organization include the following:

- Safety instructions
- Controlled patterns of machine movement
- Controlled patterns of vehicle movement
- Restricted areas
- Operator training
- Warning symbols or warning signs on machines or on vehicles
- A system of communication
- Communication between workers and operators prior to approaching the machine

Modifications of the machine configuration that result in a restriction of visibility shall be verified according to "ISO 5006".

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Operation

SMCS Code: 7000

Machine Operating Temperature Range

The standard machine configuration is intended for use within an ambient temperature range of -18°C (0°F) to 41°C (106°F).

Operating Information

Make sure that no personnel are on the machine or near the machine in order to prevent any personal injury. Keep the machine under control at all times in order to prevent injury.

If the boom is in the raised position and if the engine is stopped, refer to Operation and Maintenance Manual, "Equipment Lowering with Engine Stopped" for the procedure to lower the boom.

Reduce the engine speed when you maneuver the machine in tight quarters and when you drive over an incline.

Select the necessary travel speed range before you drive downgrade. Do not change the speed range while you drive downhill.

Use the same travel speed on a downgrade and on an upgrade.

When you travel for any distance, keep the stick inward and carry the boom in a low position. A machine that is equipped with a blade should travel with the blade in the highest position.

When you travel on a steep grade, keep the work tool as close to the ground as possible on the downhill side of the machine.

When you travel on moderate uphill grades, keep the boom on the uphill side of the machine.

Operating Procedure

1. Adjust the operator seat.
 2. Fasten the seat belt.
 3. Start the machine and refer to Operation and Maintenance Manual, "Engine and Machine Warm-Up" for information about warming the engine and warming the hydraulic oil.
 4. Raise the boom enough in order to provide sufficient ground clearance.
 5. Raise the blade enough in order to provide sufficient ground clearance before traveling.
 6. Select the desired travel speed by operating the travel speed control switch.
 7. Make sure that the position of the upper structure and of the undercarriage is known before you move the machine. The dozer blade should be in front of the machine.
- Note:** The travel levers will operate normally if the dozer blade is in front of the machine. The travel levers will operate backward if the dozer blade is behind the machine.
8. Move the governor lever rearward in order to increase the engine speed to the desired speed.
 9. Push both travel levers forward at the same time in order to travel forward. If both travel levers are pushed farther, the travel speed at the selected engine speed will be faster.

Note: If the machine does not operate or if the machine does not travel in a straight line, consult your Caterpillar dealer.

10. See Operation and Maintenance Manual, "Travel Control" for information about spot turning and about pivot turns.

11. When you make turns in soft material, travel in a forward direction occasionally in order to clear the tracks.

12. Slowly move both of the travel levers to the center position in order to stop the machine.

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Lifting Objects

SMCS Code: 7000

There may be some local regulations and/or government regulations that govern the use of excavators which lift heavy objects. Obey those regulations.

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Parking

SMCS Code: 7000

The hydraulic system includes an accumulator which is normally pressurized. When an accumulator has a charge, the hydraulic equipment is active. The accumulator may have a charge even if the engine is not running. When the accumulator is used to activate the hydraulic circuit, the charge should bleed off in less than one minute. Movement can occur under the following conditions:

- The ignition key is in the ON position.
- The console for the hydraulic lockout control is in the LOWERED position.
- The work tool is not positioned on the ground.

WARNING

Deactivation of the hydraulic controls does not prevent the blade, boom swing, or auxiliary circuit functions from moving under gravity or other external forces. Gravity or other external forces can move the blade, boom swing, or auxiliary circuit functions suddenly if a hydraulic control lever is moved.

Personal injury or death may occur from sudden machine movement.

1. Park on a level surface. If it is necessary to park on a grade, chock the tracks.

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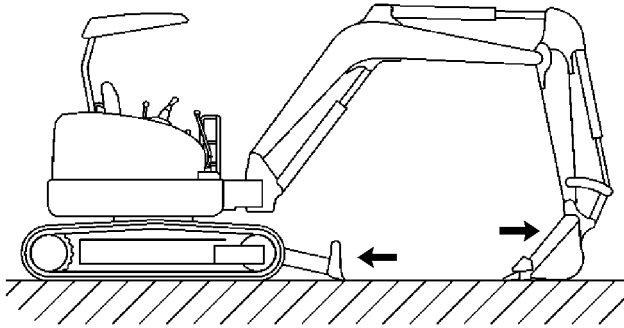


Illustration 26

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2. Lower the work tools and the blade to the ground.
3. Move the governor control lever to the LOW idle position and operate the engine at low idle for five minutes in order to allow the engine to cool down.
4. Turn the engine start switch to the OFF position.
5. When the engine has stopped, turn the engine start switch key to the ON position.
6. Move the joysticks back and forth in order to relieve hydraulic pressure.

Note: The console for the hydraulic lockout control must be lowered in order for the hydraulic circuit to be activated.

7. Turn the engine start switch to the OFF position and remove the key.



Illustration 27

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8. Place the console for the hydraulic lockout control in the RAISED position.

Slope Operation

SMCS Code: 7000

Machines that are operating safely in various applications depend on these criteria: the machine model, configuration, machine maintenance, operating speed of the machine, conditions of the terrain, fluid levels, and tire inflation pressures. The most important criteria are the skill and judgment of the operator.

A well trained operator that follows the instructions in the Operation and Maintenance Manual has the greatest impact on stability. Operator training provides a person with the following abilities: observation of working and environmental conditions, feel for the machine, identification of potential hazards, and operating the machine safely by making appropriate decisions.

When you work on side hills and when you work on slopes, consider the following important points:

Speed of travel – At higher speeds, forces of inertia tend to make the machine less stable.

Roughness of terrain or surface – The machine may be less stable with uneven terrain.

Direction of travel – Avoid operating the machine across the slope. When possible, operate the machine up the slopes and operate the machine down the slopes. Place the heaviest end of the machine uphill when you are working on an incline.

Mounted equipment – Balance of the machine may be impeded by the following components: equipment that is mounted on the machine, machine configuration, weights, and counterweights.

Nature of surface – Ground that has been newly filled with earth may collapse from the weight of the machine.

Surface material – Rocks and moisture of the surface material may drastically affect the machine's traction and machine's stability. Rocky surfaces may promote side slipping of the machine.

Slippage due to excessive loads – This may cause downhill tracks or downhill tires to dig into the ground, which will increase the angle of the machine.

Width of tracks or tires – Narrower tracks or narrower tires further increase the digging into the ground which causes the machine to be less stable.

Implements attached to the drawbar – This may decrease the weight on the uphill tracks. This may also decrease the weight on the uphill tires. The decreased weight will cause the machine to be less stable.

Height of the working load of the machine – When the working loads are in higher positions, the stability of the machine is reduced.

Operated equipment – Be aware of performance features of the equipment in operation and the effects on machine stability.

Operating techniques – Keep all attachments or pulled loads low to the ground for optimum stability.

Machine systems have limitations on slopes – Slopes can affect the proper function and operation of the various machine systems. These machine systems are needed for machine control on slopes.

Note: Safe operation on steep slopes may require special machine maintenance. Excellent skill of the operator and proper equipment for specific applications are also required. Consult the Operation and Maintenance Manual sections for the proper fluid level requirements and intended machine use.

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Equipment Lowering with Engine Stopped

SMCS Code: 7000-II

Before lowering any equipment with the engine stopped, clear the area around the equipment of all personnel. The procedure to use will vary with the type of equipment to be lowered. Keep in mind most systems use a high pressure fluid or air to raise or lower equipment. The procedure will cause high pressure air, hydraulic, or some other media to be released in order to lower the equipment. Wear appropriate personal protective equipment and follow the established procedure in the Operation and Maintenance Manual, "Equipment Lowering with Engine Stopped" in the Operation Section of the manual.

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Sound Information and Vibration Information

SMCS Code: 7000

Sound Level Information

Hearing protection may be needed when the machine is operated with an open operator station for extended periods or in a noisy environment. Hearing protection may be needed when the machine is operated with a cab that is not properly maintained or when the doors and windows are open for extended periods or in a noisy environment.

Sound Level Information for Machines in European Union Countries and in Countries that Adopt the "EU Directives"

301.6C and 301.8C

The dynamic operator sound pressure level is 83 dB(A) when "ISO 6396:1992" is used.

302.5C

The dynamic operator sound pressure level is 85 dB(A) when "ISO 6396:1992" is used.

"The European Union Physical Agents (Vibration) Directive 2002/44/EC"

Vibration Data for Track-Type Excavator

Information Concerning Hand/Arm Vibration Level

When the machine is operated according to the intended use, the hand/arm vibration of this machine is below 2.5 meter per second squared.

Information Concerning Whole Body Vibration Level

This section provides vibration data and a method for estimating the vibration level for track-type excavators.

Note: Vibration levels are influenced by many different parameters. Many items are listed below.

- Operator training, behavior, mode, and stress

- Job site organization, preparation, environment, weather, and material
- Machine type, quality of the seat, quality of the suspension system, attachments, and condition of the equipment

It is not possible to get precise vibration levels for this machine. The expected vibration levels can be estimated with the information in Table 1 in order to calculate the daily vibration exposure. A simple evaluation of the machine application can be used.

Estimate the vibration levels for the three vibration directions. For typical operating conditions, use the average vibration levels as the estimated level. With an experienced operator and smooth terrain, subtract the Scenario Factors from the average vibration level in order to obtain the estimated vibration level. For aggressive operations and severe terrain, add the Scenario Factors to the average vibration level in order to obtain the estimated vibration level.

Note: All vibration levels are in meter per second squared.

Table 1

| "ISO Reference Table A - Equivalent vibration levels of whole body vibration emission for earthmoving equipment." | | | | | | | |
|---|-------------------------------|------------------|--------|--------|------------------|--------|--------|
| Machine Type | Typical Operating Activity | Vibration Levels | | | Scenario Factors | | |
| | | X axis | Y axis | Z axis | X axis | Y axis | Z axis |
| Track-Type Excavator | excavating | 0,44 | 0,27 | 0,30 | 0,24 | 0,16 | 0,17 |
| | hydraulic breaker application | 0,53 | 0,31 | 0,55 | 0,30 | 0,18 | 0,28 |
| | mining application | 0,65 | 0,42 | 0,61 | 0,21 | 0,15 | 0,32 |
| | transfer | 0,48 | 0,32 | 0,79 | 0,19 | 0,20 | 0,23 |

Note: Refer to "ISO/TR 25398 Mechanical Vibration - Guideline for the assessment of exposure to whole body vibration of ride on operated earthmoving machines" for more information about vibration. This publication uses data that is measured by international institutes, organizations and manufacturers. This document provides information about the whole body exposure of operators of earthmoving equipment. Refer to Operation and Maintenance Manual, SEBU8257, "The European Union Physical Agents (Vibration) Directive 2002/44/EC" for more information about machine vibration levels.

The Caterpillar suspension seat meets the criteria of "ISO 7096". This represents vertical vibration level under severe operating conditions.

Guidelines for Reducing Vibration Levels on Earthmoving Equipment

Properly adjust machines. Properly maintain machines. Operate machines smoothly. Maintain the conditions of the terrain. The following guidelines can help reduce the whole body vibration level:

1. Use the right type and size of machine, equipment, and attachments.
2. Maintain machines according to the manufacturer's recommendations.
 - a. Tire pressures
 - b. Brake and steering systems
 - c. Controls, hydraulic system and linkages
3. Keep the terrain in good condition.

- a. Remove any large rocks or obstacles.
 - b. Fill any ditches and holes.
 - c. Provide machines and schedule time in order to maintain the conditions of the terrain.
4. Use a seat that meets "ISO 7096". Keep the seat maintained and adjusted.
- a. Adjust the seat and suspension for the weight and the size of the operator.
 - b. Inspect and maintain the seat suspension and adjustment mechanisms.
5. Perform the following operations smoothly.
- a. Steer
 - b. Brake
 - c. Accelerate.
 - d. Shift the gears.
6. Move the attachments smoothly.
7. Adjust the machine speed and the route in order to minimize the vibration level.
- a. Drive around obstacles and rough terrain.
 - b. Slow down when it is necessary to go over rough terrain.
8. Minimize vibrations for a long work cycle or a long travel distance.
- a. Use machines that are equipped with suspension systems.
 - b. Use the ride control system on track-type excavators.
 - c. If no ride control system is available, reduce speed in order to prevent bounce.
 - d. Haul the machines between workplaces.
9. Less operator comfort may be caused by other risk factors. The following guidelines can be effective in order to provide better operator comfort:
- a. Adjust the seat and adjust the controls in order to achieve good posture.
 - b. Adjust the mirrors in order to minimize twisted posture.
 - c. Provide breaks in order to reduce long periods of sitting.
 - d. Avoid jumping from the cab.
 - e. Minimize repeated handling of loads and lifting of loads.
 - f. Minimize any shocks and impacts during sports and leisure activities.

Sources

The vibration information and calculation procedure is based on "ISO/TR 25398 Mechanical Vibration - Guideline for the assessment of exposure to whole body vibration of ride on operated earthmoving machines". Harmonized data is measured by international institutes, organizations and manufacturers.

This literature provides information about assessing the whole body vibration exposure of operators of earthmoving equipment. The method is based on measured vibration emission under real working conditions for all machines.

You should check the original directive. This document summarizes part of the content of the applicable law. This document is not meant to substitute the original sources. Other parts of these documents are based on information from the United Kingdom Health and Safety Executive.

Refer to Operation and Maintenance Manual, SEBU8257, "The European Union Physical Agents (Vibration) Directive 2002/44/EC" for more information about vibration.

Consult your local Caterpillar dealer for more information about machine features that minimize vibration levels. Consult your local Caterpillar dealer about safe machine operation.

Use the following web site in order to find your local dealer:

Caterpillar, Inc.
www.cat.com

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Operator Station

SMCS Code: 7300; 7301; 7325

Any modifications to the inside of the operator station should not project into the operator space. The addition of a radio, fire extinguisher, and other equipment must be installed so that the defined operator space is maintained. Any item that is brought into the cab should not project into the defined operator space. A lunch box or other loose items must be secured. Objects must not pose an impact hazard in rough terrain or in the event of a rollover.

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Guards (Operator Protection)

SMCS Code: 7000; 7150

There are different types of guards that are used to protect the operator. The machine and the machine application determines the type of guard that should be used.

A daily inspection of the guards is required in order to check for structures that are bent, cracked or loose. Never operate a machine with a damaged structure.

The operator becomes exposed to a hazardous situation if the machine is used improperly or if poor operating techniques are used. This situation can occur even though a machine is equipped with an appropriate protective guard. Follow the established operating procedures that are recommended for your machine.

Rollover Protective Structure (ROPS), Falling Object Protective Structure (FOPS) or Tip Over Protection Structure (TOPS)

The ROPS/FOPS Structure (if equipped) on your machine is specifically designed, tested and certified for that machine. Excavators are not equipped with ROPS structures. Any alteration or any modification to the ROPS/FOPS Structure could weaken the structure. This places the operator into an unprotected environment. Modifications or attachments that cause the machine to exceed the weight that is stamped on the certification plate also place the operator into an unprotected environment. Excessive weight may inhibit the brake performance, the steering performance and the ROPS. The protection that is offered by the ROPS/FOPS Structure will be impaired if the ROPS/FOPS Structure has structural damage. Damage to the structure can be caused by an overturn, a falling object, a collision, etc.

Do not mount items (fire extinguishers, first aid kits, work lights, etc) by welding brackets to the ROPS/FOPS Structure or by drilling holes in the ROPS/FOPS Structure. Welding brackets or drilling holes in the ROPS/FOPS Structures can weaken the structures. Consult your Caterpillar dealer for mounting guidelines.

The Tip Over Protection Structure (TOPS) is another type of guard that is used on mini hydraulic excavators. This structure protects the operator in the event of a tipover. The same guidelines for the inspection, the maintenance and the modification of the ROPS/FOPS Structure are required for the Tip Over Protection Structure.

Other Guards (If Equipped)

Protection from flying objects and/or falling objects is required for special applications. Logging applications and demolition applications are two examples that require special protection.

A front guard needs to be installed when a work tool that creates flying objects is used. Mesh front guards that are approved by Caterpillar or polycarbonate front guards that are approved by Caterpillar are available for machines with a cab or an open canopy. On machines that are equipped with cabs, the windshield should also be closed. Safety glasses are recommended when flying hazards exist for machines with cabs and machines with open canopies.

If the work material extends above the cab, top guards and front guards should be used. Typical examples of this type of application are listed below:

-
- Demolition applications
 - Rock quarries
 - Forestry products

Additional guards may be required for specific applications or work tools. The Operation and Maintenance Manual for your machine or your work tool will provide specific requirements for the guards. Consult your Caterpillar dealer for additional information.